

Fan-Causality and Uniform Continuity on Final Coalgebras

Relational and Algebraic Methods in Computer Science (RAMiCS) 2026



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07 April 2026



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Compositional Coiteration and Coinduction

Behavioural Differential Equations

Streams

- ▶ A^ω is set of streams over an inhabited set A
- ▶ $\langle \text{hd}, \text{tl} \rangle: A^\omega \rightarrow A \times A^\omega$ is final coalgebra for $A \times \text{Id}: \mathbf{Set} \rightarrow \mathbf{Set}$
- ▶ $s_n \in A$ is n th element of $s \in A^\omega$ with $s_n = \text{hd}(\text{tl}^n(s))$

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Specifying Stream via Differential Equations

$$\oplus: \mathbb{N}^\omega \times \mathbb{N}^\omega \rightarrow \mathbb{N}^\omega$$

$$\text{hd}(\mathbf{s} \oplus \mathbf{t}) = \text{hd}(\mathbf{s}) + \text{hd}(\mathbf{t})$$

$$\text{tl}(\mathbf{s} \oplus \mathbf{t}) = \text{tl}(\mathbf{s}) \oplus \text{tl}(\mathbf{t})$$

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- ▶ Addition \oplus well-defined:
 $(s \oplus \mathbf{t})_{n+1} = (\text{tl}(s \oplus \mathbf{t}))_n = (\text{tl}(s) \oplus \text{tl}(\mathbf{t}))_n$
- ▶ Addition \oplus causal: $(s \oplus \mathbf{t})_n = s_n + \mathbf{t}_n$

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- ▶ Addition \oplus well-defined:

$$(s \oplus t)_{n+1} = (\text{tl}(s \oplus t))_n = (\text{tl}(s) \oplus \text{tl}(t))_n$$

- ▶ Addition \oplus causal: $(s \oplus t)_n = s_n + t_n$

- ▶ odd well-defined:

$$\text{odd}(s)_{n+1} = (\text{tl}(\text{odd}(s)))_n = \text{odd}(\text{tl}(\text{tl}(s)))_n$$

- ▶ odd is **not** causal: $\text{odd}(s)_n = s_{2n+1}$

Specifications and Characterisations

Causal Specifications

- ▶ Causal: n th approximations of output depends only on n th approximation of input
- ▶ Recursive specifications and distributive laws limited to causal behaviour¹
- ▶ Causal maps are precisely metric (non-expansive) maps on streams
- ▶ Specifications of causal maps can be composed sequentially, in parallel and recursively²

¹Helle Hvid Hansen, Clemens Kupke, and Jan Rutten. “Stream Differential Equations: Specification Formats and Solution Methods”. In: *Logical Methods in Computer Science* 13.1 (2017). DOI: 10.23638/LMCS-13(1:3)2017; Jurriaan Rot and Damien Pous. “Companions, Causality and Codensity”. In: *Logical Methods in Computer Science* 15.3 (Aug. 2019). DOI: 10.23638/LMCS-15(3:14)2019.

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Beyond Causal

- ▶ The map `odd` is not causal
- ▶ Cannot be directly defined by known specification formats/distributive laws

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Main Goals

- ▶ Compositional, recursive specifications of coinductive behaviour beyond causal maps
- ▶ Characterise different forms of metric continuity (non-expansive, Lipschitz, uniform) for coinductive computations

Coalgebra and Coinduction

Approximation via Descending Chains

- ▶ Coinductive objects are final coalgebras for endofunctors $F: \mathcal{C} \rightarrow \mathcal{C}$
- ▶ **Final chain**: functor $\Phi F: \omega^{\text{op}} \rightarrow \mathcal{C}$ given by, starting from terminal object $*$,

$$\Phi F = * \xleftarrow{!} F* \xleftarrow{F!} F^2* \xleftarrow{F^2!} \dots$$

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Example (Streams)

- ▶ Infinite sequences A^ω over a set A
- ▶ Final coalgebra for functor $S: \text{Set} \rightarrow \text{Set}$ with $SX = A \times X$
- ▶ $\Phi S \cong * = A^0 \longleftarrow A \longleftarrow A^2 \longleftarrow \dots$

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Example (Bisimilarity on Streams)

- ▶ Final coalgebra for $B: \text{Rel}_{A^\omega} \rightarrow \text{Rel}_{A^\omega}$ on category of relations ordered by inclusion
- ▶ $B(R)(s, t) = [\text{hd}(s) = \text{hd}(t)] \wedge [R(\text{tl}(s), \text{tl}(t))]$
- ▶ $\Phi B \cong \top = \sim_0 \xleftarrow{\supseteq} \sim_1 \xleftarrow{\supseteq} \sim_2 \xleftarrow{\supseteq} \dots$ (depth- n equivalence)

Causality

- ▶ **Assumption:** functor $F: \mathcal{C} \rightarrow \mathcal{C}$ preserves ω^{op} -limits
- ▶ Final coalgebra as limit: $\nu F = \lim(\Phi F)$
- ▶ Limit projections $p_i: \nu F \rightarrow (\Phi F)_i$
- ▶ A morphism $f: \nu F \rightarrow \nu F$ is *causal* if for all x, y and i commutativity on the left implies commutativity on the right

$$\begin{array}{ccc} G & \begin{array}{c} \xrightarrow{x} \nu F \\ \xrightarrow{y} \nu F \end{array} & \begin{array}{c} \xrightarrow{p_i} (\Phi F)_i \\ \xrightarrow{p_i} (\Phi F)_i \end{array} \\ & \circlearrowleft & \implies \\ G & \begin{array}{c} \xrightarrow{x} \nu F \\ \xrightarrow{y} \nu F \end{array} & \begin{array}{c} \xrightarrow{p_i} (\Phi F)_i \\ \xrightarrow{p_i} (\Phi F)_i \end{array} \end{array}$$

The diagram illustrates the definition of a causal morphism. It shows two commutative triangles. The left triangle has vertices G , νF , and $(\Phi F)_i$. The top edge is $x: G \rightarrow \nu F$, the bottom edge is $y: G \rightarrow \nu F$, and the right edge is $p_i: \nu F \rightarrow (\Phi F)_i$. The right triangle has vertices G , νF , and $(\Phi F)_i$. The top edge is $x: G \rightarrow \nu F$, the bottom edge is $y: G \rightarrow \nu F$, and the right edge is $p_i: \nu F \rightarrow (\Phi F)_i$. The two triangles are connected by a double arrow \implies , indicating that the right triangle is implied by the left one.

- ▶ Write $\text{Caus}(\nu F, \nu F)$ for set of causal maps

Understanding Causality

Example (Streams)

- ▶ $f: A^\omega \rightarrow A^\omega$ causal: outputting $f(s)_n$ requires only elements s_0, \dots, s_n
- ▶ Point-wise operations causal: $sc_a: \mathbb{R}^\omega \rightarrow \mathbb{R}^\omega$ unique solution to

$$\text{hd}(sc_a(s)) = a \cdot \text{hd}(s) \quad \text{tl}(sc_a(s)) = sc_a(\text{tl}(s))$$

- ▶ Tail $\text{tl}: A^\omega \rightarrow A^\omega$ and odd are not causal

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Example (Completed natural numbers, one-point compactification)

- ▶ $\mathbb{N} \cup \{\infty\} \cong \nu N$, where $N: \mathbf{Set} \rightarrow \mathbf{Set}$ is $N(X) = X + *$

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- ▶ Some form of order continuity?

Causal = Metric = Chain Maps

- ▶ Projections induce metric on final coalgebra
- ▶ Fix a generator object G and write $\text{El}(\nu F)$ for $\mathcal{C}(G, \nu F)$
- ▶ Given $x, y: G \in \text{El}(\nu F)$, define

$$d(x, y) = \sup\{2^{-i} \mid i \in \mathbb{N}, p_i \circ x \neq p_i \circ y\}$$

³Henning Basold and Tanjona Ralaivaosaona. “Composition and Recursion for Causal Structures”. In: *Proc. of CALCO 2023*. Ed. by Paolo Baldan and Valeria de Paiva. Vol. 270. LIPIcs. Schloss Dagstuhl, 2023, 18:1–18:17. ISBN: 978-3-95977-287-7. DOI: 10.4230/LIPIcs.CALCO.2023.18.

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We obtain a characterisation³

Theorem

1. A map $f: \nu F \rightarrow \nu F$ is causal iff it is non-expansive: $\text{Caus}(\nu F, \nu F) \cong \text{Met}(\text{El}(\nu F), \text{El}(\nu F))$
2. Causal maps are exactly chain maps: $\text{Caus}(\nu F, \nu F) \cong [\omega^{\text{op}}, \mathcal{C}](\Phi F, \Phi F)$

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Morphism in $[\omega^{\text{op}}, \mathcal{C}](\Phi F, \Phi F)$:

$$\begin{array}{ccccccc} (\Phi F)_0 & \xleftarrow{(\Phi F)_{0 \leq 1}} & (\Phi F)_1 & \xleftarrow{(\Phi F)_{1 \leq 2}} & (\Phi F)_2 & \xleftarrow{(\Phi F)_{2 \leq 3}} & \dots \\ \downarrow f_0 & & \downarrow f_1 & & \downarrow f_2 & & \\ (\Phi F)_0 & \xleftarrow{(\Phi F)_{0 \leq 1}} & (\Phi F)_1 & \xleftarrow{(\Phi F)_{1 \leq 2}} & (\Phi F)_2 & \xleftarrow{(\Phi F)_{2 \leq 3}} & \dots \end{array}$$

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Why Chain Morphisms?

- ▶ Category $[\omega^{\text{op}}, \mathcal{C}]$ of chains and chain morphisms (functors and natural transformations)
- ▶ Inherits products and function space from Cartesian closed \mathcal{C}
- ▶ Guarded recursion/guarded trace operator for recursive specifications⁴
- ▶ For $\mathcal{C} = \text{Set}$ known as “topos of trees”⁵

⁴Sergey Goncharov and Lutz Schröder. “Guarded Traced Categories”. In: *Proc. of FOSSACS'18*. Ed. by Christel Baier and Ugo Dal Lago. Vol. 10803. LNCS. Springer, 2018, pp. 313–330. DOI: 10.1007/978-3-319-89366-2_17. URL: https://doi.org/10.1007/978-3-319-89366-2_17.

⁵Lars Birkedal et al. “First Steps in Synthetic Guarded Domain Theory: Step-Indexing in the Topos of Trees”. In: *Logical Methods in Computer Science* 8.4 (2012). DOI: 10.2168/LMCS-8(4:1)2012.

Beyond Causality, Metric Maps and Chain Morphisms

The Plan

Continuity

- ▶ Metric maps are 1-Lipschitz continuous
- ▶ Goal: move to Lipschitz and uniform continuity
- ▶ Uniform continuity gives nice guarded recursion

Causality

- ▶ To match this, we generalise causality
- ▶ Look-ahead controlled by certain functions called fans

Chain Morphisms

- ▶ Chain morphisms go “straight down”
- ▶ Using fans, we can skew the computations

Beyond Causality

Fan-Causality

- ▶ A **fan** is an unbounded, monotone map $u: \omega \rightarrow \omega$: for every $n \in \mathbb{N}$ there is $k \in \mathbb{N}$ with $n \leq u(k)$.

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- ▶ Equivalently the map $m_u: [0, \infty) \rightarrow [0, \infty)$ is a modulus of continuity.

$$m_u(s) = \sup \left\{ 2^{-k} \mid k \in \mathbb{N} \text{ with } 2^{-u(k)} \leq s \right\}$$

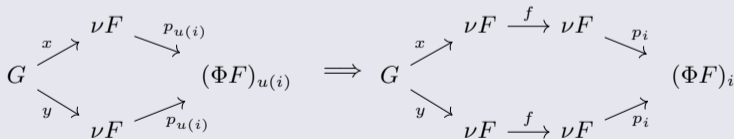
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- ▶ A morphism $f: \nu F \rightarrow \nu F$ is **u -causal** for a fan u if for all x, y and i commutativity on the left implies commutativity on the right.



Fan-Causal = Uniform Causal

Lemma

For any map $f: \nu F \rightarrow \nu F$, if f is uniformly continuous with modulus of continuity m , then there exists a fan $u: \omega \rightarrow \omega$ such that f is uniformly continuous with modulus of continuity m_u .

Fan-Causal = Uniform Causal

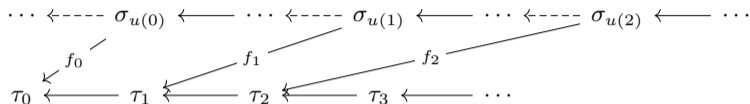
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Theorem

A map $f: \nu F \rightarrow \nu F$ is uniformly continuous iff there exists a fan u such that f is u -causal.

Fanned Chain Morphisms

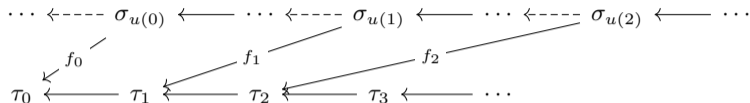


Chains and Fan Morphisms

- ▶ Denote pre-composition with fan u by $u^*\sigma$: $(u^*\sigma)_n = \sigma_{u(n)}$
- ▶ A u -fanned morphism between chains σ and τ is natural transformation $f: u^*\sigma \rightarrow \tau$.
- ▶ Pair (u, f) is a **fanned morphism**.
- ▶ **Fan**(\mathcal{C}) category of chains and fanned morphisms

⁶depending on a given section of limit projections

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Theorem

Fan(\mathcal{C})($\Phi F, \Phi F'$) isomorphic⁶ to set of fan-causal morphism $\nu F \rightarrow \nu F'$

⁶depending on a given section of limit projections

Guarded Recursion on Fanned Morphisms

Basic Structure Becomes Lax

- ▶ Write $v \leq u$ for point-wise order
- ▶ Define $\sigma_{v \leq u} : u^* \sigma \rightarrow v^* \sigma$ by $(\sigma_{v \leq u})_i = \sigma_{v(i) \leq u(i)} : \sigma_{u(i)} \rightarrow \sigma_{v(i)}$ (σ descending chain)
- ▶ $\mathbf{Fan}(\mathcal{C})$ is order enriched: $(u, f) \sqsubseteq (v, g)$ if $v \leq u$ and $f = g \circ \sigma_{v \leq u}$
- ▶ Monoidal product \otimes is lax functor
- ▶ Monoidal structure has lax natural transformations as unitors etc.
- ▶ Later modality $\blacktriangleright : \mathbf{Fan}(\mathcal{C}) \rightarrow \mathbf{Fan}(\mathcal{C})$ is lax functor

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Theorem

There is a guarded trace operator (in a suitable sense)

$$\mathrm{Tr}_{\sigma, \tau} : \mathbf{Fan}(\mathcal{C})(\blacktriangleright \sigma \otimes \tau, \sigma) \rightarrow \mathbf{Fan}(\mathcal{C})(\tau, \sigma)$$

The End

Summary

- ▶ Generalised causality to fan-causality
- ▶ Matches uniform continuity on final coalgebras
- ▶ Compositionality via fanned morphisms, but monoidal product is lax for order-enrichment

Future Work

- ▶ Richer metric structure: lift metric on a space to functor and characterise continuity
- ▶ In preparation: non-Cartesian categories